1	Evaluating the Ability of Remote Sensing Observations to Identify
2	Significantly Severe and Potentially Tornadic Storms
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# ABSTRACT

Remote sensing observations, especially those from ground-based radars, 17 have been used extensively to discriminate between severe and non-severe 18 storms. Recent upgrades to operational remote sensing networks in the United 19 States have provided unprecedented spatial and temporal sampling to study 20 such storms. These networks help forecasters subjectively identify storms 2 capable of producing severe weather at the ground; however, uncertainties re-22 main in how to objectively identify severe thunderstorms using the same data. 23 Here, three large-area datasets (geostationary satellite, ground-based radar, 24 and ground-based lightning detection) are used over 28 recent events in an at-25 tempt to objectively discriminate between severe and non-severe storms, with 26 an additional focus on severe storms that produce tornadoes. Among these 27 datasets, radar observations, specifically those at middle and upper levels (al-28 titudes at and above 4 km), are shown to provide the greatest objective dis-29 crimination. Physical and kinematic storm characteristics from all analyzed 30 datasets imply that significantly severe (>2-in. hail and/or >65-kt straight-3 line winds) and tornadic storms have stronger upward motion and rotation 32 than non-severe and less severe storms. In addition, these metrics are greatest 33 in tornadic storms during the time in which tornadoes occur. 34

#### **35** 1. Introduction

Severe and tornadic storms have been extensively studied using ground-based weather radar and 36 satellite observations during the past four decades. A common goal of past research efforts has 37 been enabling improvements in tornado prediction, which can save lives. Substantial efforts are 38 almost always underway to improve tornado warnings, including ongoing projects like Warn-on-39 Forecast and PROBSEVERE (Stensrud et al. 2009; Cintineo et al. 2018). Despite previous efforts, 40 the time from a warning being issued to a tornado occurring, commonly known as the warning 41 lead time, has stayed the same from 1986-2011, averaging 18.5 min (Stensrud et al. 2013; Brooks 42 and Correia Jr. 2018). 43

To distinguish tornadic storms from non-tornadic storms, forecasters and researchers have com-44 monly utilized unique radar signatures at low levels (within a few kilometers of the Earth's sur-45 face) that often precede tornadogenesis, such as hook echoes, weak echo regions, inflow notches, 46 bowing line segments, and rotation visible through radial velocity couplets, which were key to 47 early improvements in tornado warnings (Fujita 1958; Browning and Donaldson 1963; Lemon 48 and Doswell III 1979; Przybylinski 1995). More recently, tornado warning decision making has 49 increasingly leveraged the development and strength of low-level rotation, visual reports from hu-50 man spotters, and the presence of unique signatures in dual-polarization radar, such as the tornadic 51 debris signature (Ryzhkov et al. 2005). For broader discrimination between severe and non-severe 52 storms using radar observations, weak echo regions, mesocyclones, vertically integrated parame-53 ters based on radar reflectivity, and dual-polarization signatures have been used (Greene and Clark 54 1972; Lemon et al. 1977; Amburn and Wolf 1997; Kumjian and Ryzhkov 2008). In comparison, 55 remote sensing observations of the upper levels of storms (especially those from satellite) have 56 been increasingly used for severe storm detection due to recent improvements in spatiotempo-57

<sup>58</sup> ral sampling (e.g., Bedka et al. 2015; Gravelle et al. 2016). Satellite-observed cloud-top features
<sup>59</sup> associated with severe storms include rapid cloud-top cooling, anomalous cloud-top flow char<sup>60</sup> acteristics (strong divergence and couplets of high positive and negative vorticity), overshooting
<sup>61</sup> storm tops (OTs), and the "Enhanced-V" signature and other signatures related to above-anvil cir<sup>62</sup> rus plumes (McCann 1983; Mecikalski and Bedka 2006; Cintineo et al. 2013; Bedka et al. 2015;
<sup>63</sup> Apke et al. 2016; Line et al. 2016; Homeyer et al. 2017). All of these features are hypothesized to
<sup>64</sup> be associated with strong upward motion within severe storms.

Model forecasts and simulations have played a large role in understanding the processes and 65 environments that lead to severe and tornadic storms (e.g., Thompson et al. 2003; Cintineo et al. 66 2014; Coffer et al. 2017). The probability of all severe weather (tornadoes, hail, and straight-67 line winds) is known to increase with increasing values of convective available potential energy 68 (CAPE) and vertical wind shear (typically in a layer 0-6 km AGL). For tornadic storms, additional 69 environmental variables such as the significant tornado parameter, helicity, or the supercell com-70 posite parameter, have shown skill in distinguishing regions with favorable conditions for tornadic 71 storm formation and where the most intense tornadic storms are likely to form (e.g., Stensrud et al. 72 1997; Rasmussen and Blanchard; Thompson et al. 2003, 2012). High-resolution modeling stud-73 ies demonstrate that low-level streamwise horizontal vorticity is a key ingredient in environments 74 favorable for tornadogenesis, as tilting of this vorticity into the vertical dimension helps maintain 75 a strong, steady, low-level mesocyclone (e.g., Coffer et al. 2017; Orf et al. 2017). In addition to 76 the tornadogenesis process, simulations of tornadic supercells have further indicated that wider 77 updrafts can lead to more intense tornadoes if it is assumed that the scale and intensity of the tor-78 nadic circulation is associated with the scale and intensity of the rotating updraft at higher altitudes 79 (Trapp et al. 2017), but these model results have been demonstrated to be sensitive to the design 80 of the model simulations (Coffer and Markowski 2018). 81

Forecasting the potential for severe and tornadic storms hours to days in advance has largely 82 been accomplished using predicted or measured properties of the near-storm environment (e.g., 83 Cintineo et al. 2013; Parker 2014). These include winds, temperature, moisture, and related vari-84 ables such as CAPE and vertical wind shear. While both individual environmental variables and 85 unique combinations of different variables have proven to be useful predictors of severe storms and 86 tornadoes, their utility in the warning process is limited in part by the lack of observations avail-87 able at scales necessary to resolve the near-storm variability in real time (Thompson et al. 2003; 88 Parker 2014). In addition, the stochastic nature of internal storm dynamics results in considerable 89 overlap in the parameter spaces occupied by tornadic and non-tornadic storms, particularly in the 90 case of weak tornadoes. This overlap makes it challenging for a forecaster to determine which 91 storms will and will not be tornadic within a given environment (Anderson-Frey et al. 2016). 92

Operational observing systems in the United States provide measurements of storms at high spa-93 tial and temporal resolution and for many years. The Next-Generation Weather Radar (NEXRAD) 94 network provides three-dimensional observations of storms at approximately 5-min increments 95 (Crum and Alberty 1993). Satellite imagery from the Geostationary Operational Environmental 96 Satellite (GOES) constellation provides cloud-top visible and infrared (IR) wavelength measure-97 ments of storms at intervals of 15 min or less (Menzel and Purdom 1994). The GOES-16 Advanced 98 Baseline Imager provides imagery with temporal resolutions of 30 seconds to 1 min over 1000 km 99  $\times 1000$  km regional domains, and every 5 min over much of North America (Schmit et al. 2017). 100 Prior to GOES-16, GOES-14 was used in experimental mode to acquire 1-min resolution data, 101 with a focus on severe-storm and high-impact weather analyses (Schmit et al. 2013). 102

This study seeks to evaluate the utility and limitations of remote sensing observations to objectively discriminate between severe and non-severe storms using a fusion of recent high-resolution radar, satellite, and lightning datasets. In addition, tornadic storms are evaluated separately from

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the remaining population of severe storms (those producing severe hail and straight-line winds) 106 given their unique impacts and societal relevance. The goal of this work is to determine the value 107 of modern remote sensing observations for early objective discrimination between tornadic, se-108 vere and non-severe storms. Below, novel results are presented that reveal significant differences 109 in inferred upward motion and rotation between a large sample of severe and non-severe storms. 110 These metrics reach a maximum in tornadic storms during the time tornadoes occur. Based on 111 these results, an objective data-based approach for tornadic storm identification and short-term 112 prediction is developed for performance evaluation. 113

#### **114 2. Data and Methods**

# 115 a. Cases

This study examines 27 single-day severe weather events in the United States that occurred 116 during 2011-2016. These cases comprise more than 7000 storms defined using NEXRAD data, 117 273 of which produced tornadoes (Table 1). Severe weather days were chosen to capture a wide 118 range of environmental conditions, severe weather frequencies, and tornado intensity. Nine of the 119 27 days were chosen due to the availability of GOES-14 super rapid scan data (1-min intervals), 120 which is necessary to calculate satellite-based cloud-top divergence (Apke et al. 2016, 2018). The 121 days when GOES-14 data were available are in bold in Table 1. Additional case studies were 122 added to represent a variety of severe weather events from widespread tornado outbreaks in late 123 spring to wintertime mesoscale convective systems. Radar-derived storm tracks (see Section 2f) 124 from all 27 cases are shown in Fig. 1. Most storms analyzed in this study are clustered in the 125 central U.S., but some events extend into the eastern U.S. and the Mississippi Valley. 126

NEXRAD Level II data (i.e., volumes in range, azimuth and elevation relative to the loca-128 tion of a radar) were retrieved from the National Centers for Environmental Information (NCEI) 129 (NOAA/NWS/ROC 1991). The NEXRAD network in the contiguous United States consists of 130 more than 140 WSR-88D S-band (10-11 cm wavelength) radars that observe precipitation parti-131 cles. All NEXRAD observations used in this study were obtained at a range resolution of 250 132 m, an azimuthal resolution of 0.5 degrees for the lowest 3-4 elevations and 1.0 degree otherwise, 133 and typically at 14 elevations per volume. Each Level II volume includes (at a minimum) the 134 radar reflectivity at horizontal polarization  $Z_H$  that is related to the size and/or density of cloud 135 and precipitation particles in a radar volume and is in units of dBZ, and the radial velocity  $V_R$ , 136 a measure of the motion of cloud and precipitation particles toward and away from the radar lo-137 cation, in units of m  $s^{-1}$ . Depending on the characteristics of the operational scanning strategy, 138 the expected uncertainty in NEXRAD observations is up to 1 dB for  $Z_H$  and up to 1 m s<sup>-1</sup> for  $V_R$ . 139 These uncertainties can lead to even greater uncertainties in many of the derived variables outlined 140 below, but such errors are typically smaller than observed differences between storm types (e.g., 141 see documented errors in observables and derived variables in OFCM 2005, 2006). 142

The radar data are processed using the four-dimensional space-time merging methods described in Homeyer et al. (2017) and references therein, which resulted in volumes of the radar variables at 2-km horizontal resolution, 1-km vertical resolution, and 5-min temporal resolution over the entire extent of each analysis domain (see also information available at http://gridrad.org). Merging of  $V_R$  from multiple radar volumes onto a common grid is challenging, largely due to the fact that  $V_R$  is a measure of the motion of scatterers toward and away from the radar, such that any given measurement has a unique geometry and thus can vary significantly in magnitude

and sign compared to a measurement made at the same location from a different radar. In order 150 to overcome this challenge, derivatives of  $V_R$  must be merged instead. For this study, the radial 151 derivative of  $V_R$  (radial divergence) and the azimuthal derivative (azimuthal shear) are merged into 152 multi-radar volumes, both of which are computed using centered differencing. These yield the 153 approximate half-components of the divergence and rotation, which will be referred to as simply 154 divergence and rotation in the remainder of the paper. Given the expected uncertainties in  $V_R$ , the 155 resulting uncertainties in divergence and rotation estimates should be less than  $0.004 \text{ s}^{-1}$ , with 156 uncertainties in derived rotation decreasing by more than an order of magnitude out to the farthest 157 ranges observed by a radar (due to increasing azimuthal length scales; see also the discussion at 158 the end of Section 3). This estimate is based on calculations using fixed range resolution, varying 159 azimuthal resolution and assuming maximum error in winds:  $\pm 1 \text{ m s}^{-1}$  at each bound of the 160 derivative, such that the maximum  $\Delta V_R$  error expected is 2 m s<sup>-1</sup>. For the azimuthal derivative, 161 the distance is  $2\Delta\theta$  for the derivative. For 0.5° azimuthal sampling,  $\Delta\theta$  increases ~875 m per 100 162 km range. For 1° azimuthal sampling (most elevations),  $\Delta\theta$  increases ~1750 m per 100 km (i.e., 163 twice that of  $0.5^{\circ}$  resolution). To estimate the expected uncertainty in the azimuthal derivative, it 164 is simply  $(2 \text{ m s}^{-1})/(2\Delta\theta)$ . For ranges beyond 30 km, the uncertainty for the azimuthal derivative 165 is much less than 0.004 s<sup>-1</sup> in all cases. For the radial (i.e., range) derivative, the uncertainty is (2 166 m s<sup>-1</sup>)/(500 m) everywhere (i.e.,  $0.004 \text{ s}^{-1}$ ). While it is not possible to evaluate the uncertainties 167 of these and other derived variables in greater detail due to a lack of finer-resolution auxiliary data 168 sets, we expect the errors in rotation and divergence in our multi-radar merged data to be reduced 169 further by following several quality-control steps outlined below. 170

First, since  $V_R$  is prone to large errors in magnitude and sign due to aliasing (i.e., winds that exceed the maximum detectable  $V_R$  at a given operating frequency – the Nyquist velocity – and become "folded"), the winds must be de-aliased prior to computing the derivatives (Doviak and

<sup>174</sup> Zrnić 1993). De-aliasing is performed using the Python ARM Radar Toolkit (Py-ART; Helmus <sup>175</sup> and Collis 2016). For use in this merging procedure, a Py-ART routine is invoked that does not <sup>176</sup> require a reference atmospheric wind profile and is more computationally efficient than alternative <sup>177</sup> approaches – dealias\_region\_based, which accomplishes de-aliasing by modeling the problem as a <sup>178</sup> dynamic network reduction.

Following de-aliasing, random fluctuations of  $V_R$  in each azimuthal sweep (a 360-degree scan 179 made at a single elevation) are further suppressed by applying a  $3 \times 3$  median filter and by us-180 ing a 5-gate running-mean range filter prior to computing the radial and azimuthal derivatives (in 181 that order). The derivatives (divergence and rotation) are then calculated using the quality con-182 trolled  $V_R$  and merged into the large-area, multi-radar dataset following the procedure in Homeyer 183 et al. (2017). In order to avoid potential artifacts within weak or non-meteorological radar echo, 184  $V_R$  derivatives are only analyzed within  $Z_H \ge 30$  dBZ in this study. Similar techniques describe 185 known uncertainties that occur with  $V_R$  derivatives in range and azimuthal distance (Smith and 186 Elmore 2004), which can be as large as  $\pm 20\%$  relative to a known (or prescribed) value. The 187 divergence maximum above an altitude of 8 km (upper-level; example in Fig. 2A) and the conver-188 gence maximum - or divergence minimum - below 3 km (lower-level; Fig. 2B), as well as their 189 column maximum values, are calculated for each storm at each time step. Maximum cyclonic 190 rotation is also calculated for the lower- and upper-level altitudes (Figs. 2D & E), as well as for the 191 mid-levels (4-7 km). Due to the nature of radar sampling, the low-level variables will be limited by 192 the distance to the radar, and thus will have much fewer data points than the mid- and upper-level 193 variables. 194

Echo-top altitudes are computed for this study using multiple  $Z_H$  thresholds, with the majority of analysis conducted using 40-dBZ echo-top altitudes (Fig. 2G). The echo-top altitudes are com-

puted at every horizontal grid point by finding the highest altitude where  $Z_H$  exceeds the specified 197 threshold, provided that  $Z_H$  is also greater than the threshold in the next two lowest altitude layers. 198 Velocity spectrum width, or the standard deviation of  $V_R$  estimates within a radar volume, is 199 also extracted from the radar data where  $Z_H \ge 30$  dBZ (Fig. 2H). Spectrum width is influenced by 200 several factors, including substantial contributions from horizontal shear in  $V_R$  at low-levels and 201 turbulence at any level (Doviak and Zrnić 1993). The turbulence component has been linked to up-202 draft strength within convection and is often a major contributor to spectrum width observations at 203 altitudes in the middle and upper troposphere (Feist et al. 2019). The column maximum spectrum 204 width at each time step of each storm is calculated for analysis in this study. 205

#### 206 *c. Satellite Data*

GOES imagery was retrieved from University of Wisconsin-Madison Space Science and En-207 gineering Center (http://www.ssec.wisc.edu/) and NOAA (1994). GOES is primarily a 208 constellation of two operational satellites that continuously monitor the weather over the United 209 States: GOES-West stationed at 135°W and GOES-East at 75°W nadir longitudes. For the time 210 period analyzed in this study, GOES-15 was operational in the West position and GOES-13 was 211 operational in the East position. GOES-13 and -15 provide visible and IR imagery at 5- to 15-min 212 intervals. A spare GOES satellite (GOES-14), positioned at 105°W, has been used for experimen-213 tal super rapid scan observations in preparation for GOES-R (1-min frequency; SRSOR) during 214 various periods since late summer 2012 (Schmit et al. 2013). For nine severe weather days (bolded 215 in Table 1), 1-min imagery from GOES-14 is used for analysis. For the remaining severe weather 216 days, imagery from GOES-13 is used. The GOES-13 and -14 Imager 0.65  $\mu$ m visible wave-217 length channel has a horizontal resolution of  $\sim 1$  km at nadir, while the 10.7  $\mu$ m IR channel has a 218

horizontal resolution of  $\sim$ 4 km at nadir and an absolute accuracy of  $\leq$ 1 K (Menzel and Purdom 1994).

Convective updrafts that penetrate through a thunderstorm anvil, known as overshooting tops 221 or OTs, produce texture in GOES visible-channel imagery due to turbulent flow and shadowing 222 induced by the updraft penetration. An algorithm to detect and quantify this texture has recently 223 been developed that produces a "visible texture rating" product (Bedka and Khlopenkov 2016). 224 Anvil clouds are identified using a two-step process and then a search is performed within the 225 anvils to identify texture associated with penetrative updrafts. The first step in anvil detection is 226 based on thresholding of GOES visible reflectance based upon an empirical model used to define 227 how bright an anvil should be at a given time of day and day of year. Spatial and statistical analysis 228 of the pixels that meet the day/time-dependent threshold is performed to eliminate singular pixels 229 and preserve those within a broad area (greater than or equal to approximately 10 km<sup>2</sup>) of near-230 uniform reflectance characteristic of anvil clouds. Fourier-transform analysis of visible reflectance 231 within small (32 pixel) windows is then performed, yielding a power spectrum for varying wave-232 lengths in a  $32 \times 32$ -pixel domain. Typical OT signatures and concentric gravity waves that often 233 surround OTs produce the strongest signal in a ring-like pattern with a wavelength of  $\sim$ 4-8 km. 234 Pattern recognition is applied to the power spectrum to identify ring patterns within this wave-235 length range. The results of the pattern recognition analysis define the unitless visible texture 236 rating (Fig. 2C); the most coherent ring patterns are assigned a high rating. 237

Another method for convective updraft identification by GOES satellite involves objective identification of vigorous anvil outflow in  $\leq$ 1-min scanning rate information. This is achieved here using the Super Rapid Scan Anvil Level flow system (SRSAL; Apke et al. 2016, 2018, and references therein). SRSAL objectively identifies deep convection cloud-top flows with mesoscale atmospheric motion vectors (mAMVs; Bedka and Mecikalski 2005), which are point-source wind
estimates based on pattern recognition in a sequence of GOES visible images.

SRSAL contains a cloud-top horizontal divergence (CTD; Fig. 2F) product output to a 244  $0.02^{\circ} \times 0.02^{\circ}$  longitude-latitude grid. When associating SRSAL CTD with individual storms, only 245 data points with final smoothing parameter ( $\alpha$  from Hayden and Purser 1995, and Apke et al. 246 2018) values less than 0.5 are considered for analysis, as points with higher values are not densely 247 sampled by mAMVs. In order to mitigate sampling errors in storms obscured by cirrus at higher 248 altitudes, the data points for CTD, as well as visible texture rating, are also filtered by using only 249 those points with a maximum visible texture rating greater than 7, which is indicative of a convec-250 tive OT and gravity waves generated by the OT (Bedka and Khlopenkov 2016). Note that SRSAL, 251 like visible texture rating, is a visible-only product as it requires the Visible channel to operate. 252 The maximum CTD is calculated at each time step for each storm. 253

In order to extract satellite data along the path of the radar-based storm tracks, corrections for 254 parallax error (owing to the viewing geometry of the satellite) are required. Parallax error increases 255 as the cloud-top altitude and distance from satellite nadir increases (Vicente et al. 2002). Methods 256 typically used to correct for parallax involve converting IR cloud-top temperature to cloud-top 257 altitude using a reference tropospheric temperature profile. However, these methods are prone to 258 large errors for deep convective anvils because high-altitude clouds may either be: i) thermally 259 adjusted to stratospheric temperatures that are warmer than the upper troposphere, or ii) be opti-260 cally thin and thus mostly transparent in IR. In this study, the merged radar observations are used 261 to correct for parallax error. In particular, the  $Z_H = 5$  dBZ echo-top altitude is used as a proxy for 262 cloud-top height to estimate parallax. These estimates are used to correct the coordinates of the 263 satellite imagery in order to extract values coincident with the storm tracks. 264

# 265 d. Lightning Data

The Earth Networks Total Lightning Network (ENTLN) detects lightning using pulses in verti-266 cal electric field measurements from parts of the 1 Hz to 12 MHz frequency range from over 700 267 sites across the contiguous United States (Liu and Heckman 2011). Individual pulses are located in 268 space and time by statistically solving over-determined electrical signal time-of-arrival equations 269 using measurements from at least 5 stations. Sources close together in space and time are grouped 270 into flashes, which are binned into  $0.08^{\circ} \times 0.08^{\circ}$  longitude-latitude (~64 km<sup>2</sup>) flash density grids 271 for analysis, designed to emulate the spatial resolution of data to be provided by the Geostationary 272 Lightning Mapper instrument (Goodman et al. 2013). Lightning activity is correlated with intensi-273 fication of updrafts (Schultz et al. 2017). When upward motion in the mixed-phase (liquid and ice) 274 region of a cloud increases, hydrometeor collision charging mechanisms typically become more 275 efficient and thus, lightning flashes become more frequent (Deierling and Petersen 2008). ENTLN 276 data were available for eight of the nine GOES-14 severe weather days. The maximum of the total 277 lightning flash density is extracted along each storm track for analysis in this study, which consists 278 of both cloud-to-ground and intracloud flash density (Fig. 2I). 279

#### 280 e. Tornado Warnings

Tornado warnings from the National Weather Service are used here to provide context on which storms produced physical indications of possible tornadogenesis and were publicly recognized by warning meteorologists. NWS warnings were obtained from the online archive maintained by Iowa State University (Iowa Environmental Mesonet 2017). The warnings are provided as shapefiles, with each warning consisting of a start (issuance) and end (expiration) time and coordinates of a polygon outlining the warned area. A warning was linked to all storm tracks that passed through the warning polygon during the time the warning was valid.

#### 13

# 288 f. Storm Tracking

Analysis of all datasets on an individual storm basis in this study is facilitated through objective 289 radar-based storm tracking. In particular, individual storm tracks are computed for each severe 290 weather day using an echo-top algorithm described in Homeyer et al. (2017). Local maxima in 291 maps of Gaussian-smoothed echo-top altitude are identified in each 5-min radar observation and 292 linked together in time if they lie within close proximity to each other ( $\leq 12.5$  km). For this study, 293 tracking is accomplished through time linking of  $Z_H = 40$  dBZ echo-top maxima, filtered by the 294 convective echo classification output by the Storm Labeling in 3 Dimensions (SL3D) algorithm 295 (Starzec et al. 2017). Tracked echo-top maxima are required to exceed an altitude of 4 km and be 296 linked across 3 or more 5-min radar analyses. Radar reflectivity images of the objectively tracked 297 storms were reviewed to manually identify and merge discontinuous tracks that correspond to the 298 same storm. The quality-controlled storm tracks are then used to extract maximum or minimum (in 299 the case of convergence and GOES IR brightness temperature) values from each dataset within a 300 10-km radius of the storm location at 1-min intervals, with observations made at coarser resolution 301 than 1-min interpolated linearly in space and time to the storm track location. Such interpolation 302 is only performed for data with time coverage gaps less than or equal to 5 min. Severe Weather 303 Data Inventory (SWDI) tornado reports from NCEI are also added to the dataset and linked to the 304 nearest storm within 3 km of the tornado path (National Centers for Environmental Information 305 2017). 306

# 307 g. Data Analysis

The tornadic storms are analyzed by extracting 1-min data points within a 5-min window centered on 30 and 15 min before the first tornado, 15 and 30 min after the last tornado, and during the entire time period of any tornado. This allows assessment of the potential for discrimination be-

tween tornadic and non-tornadic storms from each variable and for providing positive lead times. 311 Time periods prior to only the first tornado in each storm are evaluated (rather than those prior to 312 all individual tornadoes) to best isolate unique evolutionary characteristics of tornadic storms be-313 fore they produce a tornado. Otherwise, time periods between successive tornadoes within a single 314 storm may bias the perceived evolution in storm-based analyses and corresponding observational 315 indicators of tornado potential. Similarly, time periods following the last tornado are analyzed to 316 reveal the capacity for each variable to capture a decreasing tornado threat. The tornadic storms 317 are compared to the most intense 30-min period of all tracked non-tornadic storms (i.e., any storm 318 with a persistent 40-dBZ echo top exceeding 4 km) and of non-tornadic storms linked with severe 319 hail or wind reports. The most intense 30-min period is defined as the  $\pm 15$ -min window centered 320 on the storm-maximum (or minimum) value observed for each separate variable. Therefore, the 321 time periods considered to be the most intense for the non-tornadic storms could differ between 322 variables. The non-tornadic storms are separated into categories containing non-severe, severe 323 [those containing  $\geq 1$  in. (2.54 cm) diameter hail and  $\geq 50$  kt (25.7 m s<sup>-1</sup>) wind speeds at ground 324 level], and significant severe storms [those containing  $\geq 2$  in. (5.08 cm) diameter hail and  $\geq 65$  kt 325  $(33.4 \text{ m s}^{-1})$  wind speeds at ground level]. Significant severe non-tornadic storms were not in-326 cluded in the severe non-tornadic storm category and neither severe storm category was included 327 in the non-severe non-tornadic category. While many variables were analyzed during the course 328 of this study, the analysis presented here focuses on variables that provided the greatest discrimi-329 natory ability from each data source. Table 2 provides a concise list of all variables analyzed and 330 included in the remainder of the paper. 331

The updraft strength within storms is inferred here using a kinematic approach based on divergence observations. Kinematic approaches for inferring upward motion involve vertical integration of the horizontal wind divergence through a column with the assumptions of an incompressible

or anelastic atmosphere (e.g., O'Brien 1970). Strong upper-level divergence located at altitudes
 above low-level convergence within convection (i.e., a two-layer divergence profile) implies strong
 upward motion due to the conservation of mass in the atmosphere. While the radar and satellite
 observations can only measure winds within and atop storms, respectively, the upper-level divergence divergence alone can (with assumptions) serve as a proxy for updraft strength in deep convection.

The utility of upper-level divergence as a proxy for updraft strength is primarily limited by vari-340 ations in the depth of analyzed storms and coarse vertical sampling. If all storms spanned the 341 same depth in the atmosphere and had equivalent divergence profile shapes, differences in the 342 upper-level divergence (or low-level convergence) maxima would be proportional to differences 343 in vertical velocity. Since the vast majority of storms analyzed in this study reach the tropopause 344 and the tropopause altitude varies by <3 km across the 27 cases analyzed, it is assumed that the 345 differences in storm depth have a minor impact on the use of upper-level divergence as a proxy for 346 updraft strength. In a scenario where two storms had equivalent maxima in upper-level divergence 347 but differed by 3 km in depth, the inferred updraft speed for the deeper storm would be 25% larger 348 than that of the shallower storm. Errors could be larger if the divergence profile shapes differed 349 considerably between storms, which is not possible to adequately assess with the data used in this 350 study. Single-radar estimates of divergence at high elevation angles (i.e., those obtaining mea-351 surements in the upper troposphere) contain additional error due to contributions from the vertical 352 component of the wind and hydrometeor fall speeds to the measured  $V_R$ , but these errors are ex-353 pected to be relatively small (or potentially helpful for diagnosing relative differences in updraft 354 strength given the relationship between vertical velocity and the horizontal divergence). Others 355 have had success assuming upper-level divergence is related to updraft strength, for example, in 356 hail size nowcasting (e.g., Witt and Nelson 1991; Boustead 2008; Blair et al. 2011). 357

# 358 h. Performance Evaluation

As outlined in Section 3a, an evaluation of the ability of a simple objective technique to identify 359 storms capable of producing tornadoes before they occur was performed using the product of two 360 radar-derived kinematic fields: divergence and rotation. To avoid being overly restrictive with an 361 arbitrary altitude threshold, the column-maximum divergence is used in the product calculation. 362 The rotation in the divergence-rotation product (maximum divergence multiplied with maximum 363 rotation) is the maximum at upper- and mid-levels (i.e., the largest value found anywhere at and 364 above 4 km). Storms that exceed a single threshold value of this product (i.e., [divergence  $\times$  ro-365 tation]  $\geq$  threshold) for a specified time period are flagged as potentially tornadic and the time at 366 which the condition is met is recorded. For a predictive model, the resulting probability of detec-367 tion (POD), false alarm ratio (FAR), critical success index (CSI), and bias forecast skill metrics 368 for the storm population are computed using Equations 1 through 4. 369

$$POD = \frac{No. \text{ correctly flagged storms}}{No. \text{ tornadic storms}}$$
(1)

$$FAR = \frac{No. incorrectly flagged storms}{No. storms flagged}$$
(2)

$$CSI = \left(\frac{1}{1 - FAR} + \frac{1}{POD} - 1\right)^{-1}$$
(3)

$$Bias = \frac{POD}{1 - FAR}$$
(4)

A perfect forecast has a 100% POD, 0% FAR, and a CSI and bias of 1 (e.g., Roebber 2009). Correctly flagged storms are tornadic storms identified prior to the occurrence of the first tornado and incorrectly flagged storms are those flagged that never produce a tornado. Mean and median

lead times of the potentially-tornadic identification relative to the first occurrence of a tornado 373 (hereafter the flag lead time) within each storm are also computed. Flag lead times reported in 374 this study are computed only for correctly flagged storms (i.e., missed tornadic storms are not 375 included in lead time calculations as having lead times of 0). Tornadic storms with 0 or negative 376 lead times are considered to be missed storms, which is accounted for in the POD. For evaluation 377 purposes, the first instance of a tornado warning for a storm from the NWS served as a baseline 378 potentially-tornadic identification for comparison with the objective threshold exceedance method. 379 Apart from the difference in storm identification method, the performance of the objective method 380 and NWS tornado warnings is evaluated in the same way. Thus, calculation of lead times for these 381 metrics may favor the objective approach given the fact that NWS warnings are commonly issued 382 for a finite duration of 30 or 45 minutes, but the corresponding POD, FAR, and CSI calculations 383 do not favor either method. 384

Performance evaluations can also be made for varying storm environments, which is done here 385 using the number of tornadic storms for a given day when the primary storm mode was discrete 386 convection (i.e., supercells and ordinary cells). All cases for which the primary mode was multi-387 cellular convection (typically mesoscale convective systems or MCSs) are analyzed separately 388 because the environments in which they occur often differ considerably from supercells (e.g., 389 see Flournoy and Coniglio 2019, and references therein). The primary modes were subjectively 390 evaluated, where the mode that is dominant during the actively tornadic period was chosen. MCSs 391 are the primary storm mode for five of the 27 cases (Table 1). Events for which the dominant storm 392 mode was discrete convection are grouped into those having 1-5, 6-15, or 16+ tornadic storms. 393

#### 394 **3. Results**

The analysis of 27 severe weather day cases, based on both kinematic and physical metrics, 395 shows that significant severe and tornadic storms generally have greater inferred upward motion 396 and rotation than severe and non-severe non-tornadic storms (Figs. 3 & 4). The maximum diver-397 gence estimated from both radar and satellite is substantially stronger for significant severe non-398 tornadic and tornadic storms compared to that found in non-tornadic non-severe storms, especially 399 when there is a tornado on the ground (Figs. 3A-3B). Severe non-tornadic storms show interme-400 diate divergence magnitudes relative to the significant severe and non-severe storm populations. 401 Divergence for significant severe non-tornadic storms is similar to that observed in tornadic storms 402 prior to tornadogenesis, suggesting little to no ability to distinguish between the two storm types 403 before a tornado has occurred. The difference in median values between the significant severe or 404 tornadic storms (especially leading up to the first tornado) and the non-tornadic storms is greater 405 for the radar-estimated divergence than the satellite divergence, with clear and consistent differ-406 ences prior to first tornado occurrence. Divergence estimates from the radar and satellite sources 407 here do not account for density changes in the atmosphere with height (i.e., differences in storm 408 depth); thus, inferring a stronger updraft within storms containing larger divergence involves an 409 incompressible atmosphere assumption. Though not shown, using an anelastic assumption (where 410 base state density varies with height) and deriving mass-flux divergence instead provides consis-411 tent results with those shown here. 412

Differences between the divergence estimated from ground-based radar and satellite imagery are likely due to both the limited information detected by satellite (i.e., at cloud top only) and the differences in the spatial resolution of the two datasets. It is also possible that some of the difference can be due to the limitations of the radar-derived divergence due to the previously discussed

<sup>417</sup> issue with the radar beam inclination. Although the number of cases differs from the satellite to <sup>418</sup> the radar data, the cases where 1-min GOES-14 imagery was available were previously analyzed <sup>419</sup> separately for the radar divergence with nearly identical results to the 27 cases in this study (not <sup>420</sup> shown), indicating that the differences between radar and satellite divergence are not due to a sam-<sup>421</sup> pling issue. Fig. 4A, which shows the maximum upper-level divergence, is nearly identical to the <sup>422</sup> column-maximum divergence in Fig. 3A, implying that column-maximum divergence typically <sup>423</sup> occurs at altitudes above 8 km.

Physical metrics of strong updrafts show behavior consistent with that observed from radar and 424 satellite divergence. Specifically, radar-observed 40-dBZ echo-top altitudes (the maximum alti-425 tude reached by radar-indicated precipitation of considerable size – e.g., large rain drops or ice 426 particles such as hail) imply that significant severe non-tornadic and tornadic storms have stronger 427 updrafts than weaker severe and non-severe non-tornadic storms (Fig. 3C). This is not a surpris-428 ing result and is due to the fact that larger precipitation particles have faster fall speeds, meaning 429 stronger in-cloud vertical motion is required to loft them to higher altitudes. Identifying cloud-top 430 altitudes from satellite is challenging when storms reach the tropopause (commonly the case for 431 storms analyzed in this study) due to the dependence of the relationship between cloud top tem-432 perature and altitude in the stratosphere on both the resolution of the IR imager and the assumed 433 environmental temperature profile, which can vary greatly in the extratropical lower stratosphere 434 (e.g., Griffin et al. 2016). Alternatively, it is possible to measure the visible texture of the cloud 435 top from satellite to indicate the tropopause-relative depth of OTs (Bedka and Khlopenkov 2016). 436 A high visible texture rating implies a more complex texture, which is shown here to be correlated 437 with stronger upward motion and higher tropopause-relative cloud tops (Fig. 5). Indeed, the visi-438 ble texture rating is also highest in the tornadic storms examined here during tornadoes, providing 439 further evidence of stronger upward motion than that in non-tornadic non-severe storms (Fig. 3D). 440

Tropopause-relative IR cloud-top temperatures show similar characteristics, but less contrast. Reduced contrast in IR is likely due to the 16 times poorer spatial resolution (compared to the visible) of the GOES imagery used in this study (Fig. A1B). As observed for divergence, the differences between physical characteristics of tornadic and non-tornadic storms are reduced when considering observations for the most intense periods in significant severe non-tornadic storms (expected to be the most extreme non-tornadic storms).

Three additional metrics that are related to upward motion in storms are shown to provide fur-447 ther evidence of a unique relationship between both significant severe non-tornadic and tornadic 448 storms and updraft strength. First, column-maximum  $V_R$  spectrum width from radar is shown (Fig. 449 3E) due to its dependence on turbulence that increases as the updraft strength increases (Doviak 450 and Zrnić 1993; Feist et al. 2019). Spectrum width shows similar contrast between large val-451 ues in significant severe non-tornadic and tornadic storms and much lower values in non-tornadic 452 non-severe storms to that observed for column-maximum divergence, further supporting the infer-453 ence that significant severe non-tornadic and tornadic storms are characterized by stronger upward 454 motion than weaker severe and non-severe non-tornadic storms. 455

Second, stronger upward motion has implications for lightning activity. Data from ENTLN 456 show that flash density is greatest in significant severe non-tornadic storms and similarly high 457 in tornadic storms during the time a tornado is occurring (Fig. 3F). This result is comparable to 458 the so-called "lightning jump" feature discussed in previous studies and linked to severe weather 459 (Williams et al. 1999; Schultz et al. 2009), although this study evaluates the absolute value of flash 460 density rather than how rapid the lightning activity is increasing over time. Despite the large flash 461 rates observed within tornadic storms, the lightning data also show considerable overlap between 462 the severe non-tornadic and tornadic storm populations prior to the first tornado, which indicates 463

that this metric is better at discriminating between severe and non-severe rather than tornadic and
 non-tornadic storms.

Third, as an updraft intensifies within a rotating storm, stretching of vertical vortex tubes within 466 provides increased vertical vorticity relative to storms with weaker updrafts (Markowski and 467 Richardson 2009), which is demonstrated well in the radar observations of rotation at all alti-468 tudes (Figs. 4B, 4D, and 4F). Increased lightning activity and low-to-mid-altitude rotation are 469 currently being used as variables of interest for probabilistic forecasts of tornadoes (Smith et al. 470 2016). Here, of the three altitude layers of rotation analyzed, mid-level rotation (Fig. 4D) shows 471 the greatest potential for discriminating between significant severe and non-severe (and tornadic 472 and weakly severe or non-severe non-tornadic) storms, with similar separation between categories 473 to that found for radar-derived divergence. The lack of separation in low-level rotation between 474 tornadic and non-tornadic storm categories deserves some explanation here. Considering the meth-475 ods used to calculate rotation outlined in Section 2b (smoothing via  $3 \times 3$  median filter and 5-gate 476 running-mean and centered differencing), there are minimum scales of rotation that can be re-477 solved and retained in the merged multi-radar volumes. In addition, because the native radar data 478 have higher azimuthal sampling in the lowest elevation scans, the minimum scales of rotation 479 that can be resolved are smaller at low levels and larger at mid and upper levels. In most cases, 480 these minimum resolvable scales are 2-3 km at low levels and 3-6 km at mid and upper levels. 481 Thus, since mesocyclone diameters are commonly between 1 and 10 km (Stumpf et al. 1998), the 482 smallest mesocyclones will not be detected in these data. Low-level observations here have an 483 advantage in the scales (and magnitudes) of rotation that can be retained due to the enhanced res-484 olution there compared to higher altitudes, so a lack of mesocyclone detection doesn't explain the 485 differences between low-level and mid-level rotation. The minimum threshold of  $Z_H \ge 30$  dBZ 486 applied to analyses of rotation could also be a source of reduced discrimination at low levels, since 487

strong rotation can often be found within weaker echoes at such altitudes. Thus, we did evaluate rotation using a weaker threshold of  $Z_H \ge 10$  dBZ, which did show some increases in low-level rotation for tornadic storms overall, but also an increase in the spread of rotation values for all storm populations (not shown).

#### 492 a. Evaluation of a Simple Objective Short-Term Tornadic Storm Forecast Product

While the statistical evaluations in Figures 3 and 4 show that radar-derived divergence and rota-493 tion provide the largest separation between tornadic and weakly severe or non-severe non-tornadic 494 storms prior to tornadogenesis, they do not evaluate the potential usefulness of the variables for 495 real-time discrimination. The figures also demonstrate that tornadic and significant severe non-496 tornadic storms show little separation, but both populations are small in number compared to 497 the more prevalent weakly severe and non-severe storms. Given these results and the societal 498 relevance of tornadoes, an evaluation of the ability of a simple objective technique based on the 499 product of radar-derived rotation and divergence to identify storms capable of producing tornadoes 500 before they occur is warranted. Although low-level rotation shows significant differences between 501 the non-tornadic categories and the tornadic periods, the limited number of observations available 502 compared to that for mid- to upper-level rotation (see Table 3) leads to the exclusion of low-level 503 rotation in the product of rotation and divergence here. To provide context for this objective thresh-504 old method for storm discrimination, performance results (i.e., the ability to identify observed 505 tornadoes) are compared with the first tornado warning given to each storm by the responsible Na-506 tional Oceanic and Atmospheric Administration (NOAA) NWS forecast office, which serves as a 507 metric of the first public recognition that a storm was potentially tornadic by forecasters. Note that 508 the first warning is used here as a short-term forecast of a storm's potential to become tornadic for 509 context only, not to be confused with the evaluations conducted by the NWS of the performance of 510

all individual warnings, which aim to evaluate whether or not a warning encompassed the time of
an observed tornado. The tornado warnings are linked to the storm tracks generated for this study,
so the exact same storms are analyzed for both the radar-based and warning-based methods.

As outlined in Section 2h, the divergence-rotation product is based on column-maximum di-514 vergence and the maximum of rotation from mid- and upper-levels. It was found that a rotation-515 divergence product threshold of  $42 \cdot 10^{-6} \text{ s}^{-2}$  is comparable to the cumulative performance of the 516 NWS warning-based potentially tornadic storm flag over all 27 severe weather days (see Figs. 4E 517 and 6). This decision was arbitrarily made to facilitate direct comparison between the objective 518 threshold method and the NWS warning-based method. From Fig. 6, a 5-min time period of the 519 divergence-rotation product exceeding the threshold is deemed sufficient for the objective thresh-520 old technique, since the product did not appear to be greatly affected by random time variations 521 (i.e., noise). 522

For objective divergence-rotation thresholds ranging from  $5 \cdot 10^{-6} \text{ s}^{-2}$  to  $80 \cdot 10^{-6} \text{ s}^{-2}$  applied 523 to data from all 27 severe weather days, the CSI largely varies between 0.1 and 0.2 (Fig. 6). In 524 comparison, the CSI of the NWS warning-based method is  $\sim 0.13$  (indicated by the black circle in 525 Fig. 6). The objective threshold method achieved a comparable CSI to the NWS method at a POD 526 of approximately 58.3% and an FAR of approximately 85.9%, while the POD and FAR based on 527 the NWS method are approximately 51.7% and 84.9%, respectively. The mean flag lead time is 528 43 min using the objective threshold method, while the median flag lead time is 35 min. Similar 529 performance (skill) with positive lead time by the objective method indicates that the divergence-530 rotation product provides a comparable ability to discriminate between tornadic and non-tornadic 531 storms prior to tornadogenesis. 532

The single-value divergence-rotation threshold calculated from the cumulative performance of all 27 days is applied to groupings based on the number of tornadic storms for a given case (Table

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4). The two lower-impact groupings (1-5 and 6-15 tornadic storms) showed both higher POD 535 and FAR than the overall performance, with slightly lower skill. Though the POD decreases from 536  $\sim$ 70% to  $\sim$ 60% for the high-end days (those with 16+ tornadic storms), the FAR also decreases 537 by a considerable amount, which in turn increases the skill of the objective method to 0.19. The 538 performance decreases for the objective threshold method when the dominant storm mode is an 539 MCS. Namely, the lowest POD and highest FAR values are found in these cases, with the objective 540 threshold method showing the poorest performance. However, the median flag lead times from 541 the objective threshold method are still the same as the overall median flag lead times from the 542 27 cases. These results reveal that the ability of the objective threshold method to discriminate 543 between tornadic and non-tornadic storms is greatest in discrete cases (i.e., supercell storms) and 544 the lead time of discrimination is relatively insensitive to the variation in event type. 545

In order to illustrate the spatial appearance of the objective threshold evaluation, maps of in-546 stantaneous fields at 20-min intervals from the 31 May 2013 event are shown in Fig. 7. Areas 547 exceeding the single-value divergence-rotation threshold are shown in purple in each map. Storms 548 1 and 4 exceed the threshold for extended periods of time and are each responsible for producing 549 several tornadoes (times indicated in each map), while storms 2 and 3 briefly exceeded the single-550 value threshold and never or only once produced a tornado, respectively. All four storms were 551 tornado warned by the NWS for some time during their life cycles. The southern storm (labeled 552 1) produced an EF3 tornado near El Reno, OK at 23:03 UTC, as well as an EF0 tornado shortly 553 prior to the EF3 tornado. The first exceedance of the divergence-rotation product for storm 1 was 554 observed at 21:50 UTC and the divergence-rotation product exceeded the threshold value over a 555 larger area for storm 1 than the remaining storms, both prior to and especially during the EF3 556 tornado. 557

#### **4. Summary and Discussion**

This study employed radar, satellite, and lightning observations from a large dataset of more than 559 7000 storms to examine the ability of modern, high-resolution remote sensing data to objectively 560 discriminate between severe and non-severe storms, with an additional focus on severe storms that 561 produce tornadoes. It was found that radar-observed/derived physical and kinematic characteristics 562 routinely enable discrimination between significant severe or tornadic and non-severe non-tornadic 563 storms, with indications from all datasets that inferred upward motion is strongest and rotation is 564 fastest in tornadic storms during the occurrence of a tornado (see Figs. 3 & 4). Significant severe 565 non-tornadic storms were found to broadly overlap with tornadic storms in most observations, but 566 the size of the significant severe non-tornadic population is relatively small. While the tornadic and 567 non-tornadic discrimination results are broadly consistent in both radar and satellite-derived flow 568 observations, larger differences were seen between the storm categories in the radar observations. 569 The separation between the tornadic and non-tornadic storm characteristics was found to be large 570 enough such that a simple objective threshold method based on the product of radar-derived storm 571 divergence and rotation was able to provide early indication of potentially tornadic storms with 572 comparable performance to indications based on NWS tornado warnings (see Fig. 6). 573

Previous studies have shown somewhat similar separation between storm categories using environmental measurements from numerical model analyses and forecasts, such as the significant tornado parameter (e.g., Thompson et al. 2003). These studies typically isolate environments based on the most intense storm within close proximity to the model grid point. However, as outlined in Section 1, it is common to find both tornadic and non-tornadic (or severe and non-severe) storms within very similar environments, which makes it challenging to use these metrics for objective storm discrimination. Analysis of such environmental variables was conducted during the

course of this research, but greater overlap, and thus weaker discrimination, between storm cate gories was found compared to that provided by the radar-observed/derived physical and kinematic
 characteristics (not shown).

With respect to tornadic versus non-tornadic storms, the results of this study agree with the cur-584 rent understanding of the three-step process for tornadogenesis within supercells (Markowski and 585 Richardson 2009; Davies-Jones 2015). Namely, the first step in a storm's evolution to become 586 tornadic is the development of a strong mid-level circulation, which is found routinely in the radar 587 observations at long lead times to tornadogenesis (see Fig. 4D). The second step for a tornadic 588 storm is the development of a strong near-surface circulation as a result of processes occurring as 589 air descends through the low-level outflow. The third and final step to becoming a tornadic storm 590 is having this near-surface rotation come into alignment with in-storm perturbation pressure gra-591 dients associated with rotation aloft, that lift the air and contract it to tornado strength (Markowski 592 and Richardson 2014). The maximum values observed in almost all physical and kinematic met-593 rics evaluated here being associated with time periods during observed tornadoes is evidence of 594 the extreme and deep rotating updrafts associated with tornadogenesis in the conceptual model. 595

Given the extensive knowledge base that exists for severe, non-severe, tornadic and non-tornadic 596 storms and the discussion given in the previous paragraph, it is not surprising to find that, on 597 average, significant severe non-tornadic and tornadic storms have stronger inferred updrafts and 598 greater rotation than non-severe non-tornadic storms. These findings are in agreement with a 599 similar argument for tornadic storms that has recently been made for an association between the 600 strength of a storm's mesocyclone and the width of the updraft, which Trapp et al. (2017) tied to 601 tornado strength based on numerical simulations of tornadic storms. As shown in the example 602 maps of the divergence-rotation product (Fig. 7), the storm responsible for the 2013 El Reno, OK 603 EF3-tornado was associated with a higher area of divergence-rotation threshold exceedance than 604

nearby storms with weaker tornadoes, which may be an indication of a broader updraft within the
 El Reno storm. Future studies should investigate the relationship between metrics of updraft width
 and tornado strength in observations.

One caveat of this study is that only 27 events from a period spanning 5 years were evaluated, 608 with most events occurring during the April-June time period. Thus, to demonstrate that our 609 methods for case selection were not inherently biased, an analysis based on 22 additional severe 610 weather days that were randomly selected from a single year (2011) is included in the Appendix. 611 The results from these cases are generally consistent with that presented above and further support 612 the argument that our case selection for the events analyzed throughout the paper was not biased. 613 Another caveat of this analysis is the focus on single-polarization radar variables. While several 614 dual-polarization variable extrema were investigated during the study summarized here, none of 615 this analysis yielded statistically significant differences between severe categories and was there-616 fore not reported. A lack of significant differences could be due to insufficient diagnosis of dual-617 polarization signatures associated with tornadoes using extrema alone. It is also possible these 618 signatures are sufficiently small in scale such that they are smoothed out in the gridded radar 619 dataset. Nevertheless, dual-polarization radar observations and their utility for severe and tornadic 620 storm discrimination should be investigated further in future work. 621

In the tornado warning process, the NWS forecaster faces two primary challenges: timely identification of tornadic storms, and production of spatially concise warnings that sufficiently identify locations likely to be affected by a tornado. The objective system developed here can only help with the former, as the physical connection between strong divergence near the storm-top and the eventual development of rotation near the surface is unknown and is required to confirm the eventual presence and approximate location of a tornado. Furthermore, this study has shown that while weakly severe and non-severe non-tornadic storms are often considerably different than tor-

nadic storms in radar and satellite observations, significant severe non-tornadic storms (those most 629 likely to be non-tornadic supercells) do not differ considerably from tornadic storms prior to tor-630 nadogenesis. Thus, additional work is required to evaluate the utility of the physical and kinematic 631 radar observations (especially those at middle and upper levels) for the tornado warning decision 632 making process. Furthermore, while the objective method of potentially tornadic storm detection 633 using the divergence-rotation product was found to perform at a skill similar to NWS warnings, the 634 true value of this metric for the warning decision making process should be evaluated in greater 635 detail in future studies. Namely, this work would benefit from increasing the number of cases 636 to reduce uncertainty and include greater representation of observed seasonality and convective 637 mode. Observing system simulation experiments (commonly referred to as OSSEs), which have 638 been used to estimate radar multi-Doppler wind retrieval uncertainties (e.g., Potvin et al. 2012), 639 may also be helpful for improving understanding of the limitations of and uncertainties in the 640 divergence-rotation product. 641

One potential barrier to implementing the divergence-rotation product evaluated here in an op-642 erational setting is the necessary step of dealiasing radial velocity fields, which is the most crucial 643 and time consuming element of the process. However, dealiasing is commonly executed in real-644 time within the software used by forecasters. In addition, while the divergence-rotation product 645 was calculated from multi-radar composites, it could easily be implemented using single-radar ob-646 servations. If similar methods to this study are used for computing divergence and rotation, differ-647 ences between the magnitude of the product in single-radar fields and the multi-radar composites 648 are expected to be minimal, but it is required to evaluate the product from multiple neighboring 649 radars to achieve similar vertical sampling. One aspect that was not investigated in this study is 650 the development of a variable divergence-rotation threshold for the objective method to account 651 for potentially relevant factors such as seasonality, location, or storm mode. It is likely that the 652

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threshold found here is not "one size fits all", but will vary based on such factors as evident by the variation in performance between case types (Table 4). Again, further research is needed to examine the best way to utilize these results in an operational setting.

In conclusion, these findings provide an opportunity for improving the early recognition of significant severe and potentially tornadic storms from modern radar, satellite, and lightning observations. Increases in the spatial and temporal resolution of visible and IR satellite imagery now available following the transition of GOES-16 to operations in January 2018 will likely demonstrate improved capability to infer updraft intensity in the future. There are ongoing efforts to investigate these metrics further using machine learning techniques, which will likely yield a greater performance than the simple objective threshold technique introduced here.

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# APPENDIX

#### a. Tropopause-Relative Infrared Brightness Temperature

Since IR brightness temperatures serve as a proxy for cloud top height and can help to indicate 676 the depth of overshooting tops (and thus, the strength of upward motion within convection), we did 677 evaluate the potential of brightness temperatures to discriminate between severe and non-severe 678 (and tornadic and non-tornadic) storms. IR brightness temperature did not show an ability to 679 discriminate between severe and non-severe and tornadic and non-tornadic storms. A storm with 680 a cold cloud top does not indicate that the storm will necessarily be severe or tornadic. Fig. A1A 681 shows storms from the same example as in Fig. 2. The northeastern-most storm was producing a 682 tornado at the time that map was valid for, while the other storm with a cold cloud top (deep blue 683 shading) on the KS-NE border only produced a few  $\sim$ 1-inch hail reports and a 52-kt wind report. 684 One of the southern storms also produced a tornado at a later time, but its minimum brightness 685 temperature was only 3 K colder than at this time, which was considerably warmer than the KS-686 NE border storm that never produced a tornado. Note also that any l regions well removed from 687 precipitation echoes are comparably cold to the strong convective cores, which complicates the 688 use of IR temperature thresholding for severe storm discrimination. 689

The minimum IR brightness temperature from GOES within a storm is calculated and compared with the temperature at the tropopause in order to investigate the minimum tropopause-relative temperature of the cloud tops. Generally, if the tropopause-relative cloud-top temperature is negative, the storm is penetrating into the stratosphere.

The tropopause temperature was extracted from Rapid Update Cycle (RUC) or Rapid Refresh (RAP) hourly output (Benjamin et al. 2004, 2016). The RUC/RAP models have a horizontal resolution of 13 km and 50-51 vertical levels, and were retrieved from the National Centers for Environmental Prediction (NCEP; National Oceanic and Atmospheric Administration, Earth Sys-

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tem Research Laboratory 2012). The temperatures from RAP/RUC were linearly interpolated to the radar-based storm tracks in space and time for analysis.

Although there is considerable overlap between the categories for the minimum IR brightness 700 temperature, it is worth noting that the 5th percentile of the tornadic category is much colder than 701 the other categories (Fig. A1B). This implies that tornadoes seldom occur when cloud tops are 702 warm relative to the tropopause, which differs from the severe and significant severe non-tornadic 703 categories. While GOES-13/14 data cannot resolve the coldest temperatures due to its spatial 704 resolution, the data indicate that cloud tops are relatively cold for tornadic storms on average. 705 After updraft intensification while the storm is tornadic, there is a lot of cold outflow generated, 706 resulting in a smaller range of values after the tornado dissipates. 707

#### 708 b. Additional Cases

An analysis of 22 randomly selected severe weather cases from 2011 (Table A1) supports the 709 general result from this study, though the upper-level variables show less separation between the 710 most intense period of the non-tornadic significant severe storms and the tornadic storms (Fig. 711 A2). These cases were tornado days that were randomly picked throughout the year. At least one 712 case from each month of the year is included to account for potential seasonality in tornadic and 713 non-tornadic storm characteristics. This analysis suggests that there is an important seasonality to 714 the divergence-rotation threshold, as the separation between storm populations remains similar to 715 the results presented in the main text, but all the boxes are shifted downward (i.e., magnitudes of 716 each metric are smaller). 717

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# 903 LIST OF TABLES

904	Table 1.	Dates, number of storms, number of tornadic storms, number of tornadoes,			
905		dominant storm mode (discrete or mesoscale convective system), and the			
906		longitude-latitude coordinates of the analysis domain for the 27 severe weather			
907		days analyzed in this study. Dates in bold represent days where GOES-14 and			
908		ENTLN data were available, with one exception (ENTLN data were not ob-			
909		tained for the 4 June 2015 case).	•	•	42
910	Table 2.	All variables presented in this study, categorized by their source and type (phys-			
911		ical or kinematic).	•	•	43
912	Table 3.	The number of 1-min observations contributing to box plots in this study.		•	44
913	Table 4.	Values of the performance metrics for the rotation-divergence product using a			
914		threshold of $42 \cdot 10^{-6} \text{ s}^{-2}$ [where NWS skill (CSI) was matched using data from			
915		all 27 cases]. Here, values are shown for the performance when the threshold			
916		was used for all 27 cases, cases dominated by MCSs, and cases grouped by the			
917		number of tornadic storms that occurred	•	•	45
918	Table A1.	Similar to Table 1. Dates, number of storms, number of tornadic storms, num-			
919		ber of tornadoes, dominant storm mode (discrete or mesoscale convective sys-			
920		tem), and the longitude-latitude coordinates of the analysis domain for the ran-			
921		domly selected 2011 severe weather days			46

TABLE 1. Dates, number of storms, number of tornadic storms, number of tornadoes, dominant storm mode (discrete or mesoscale convective system), and the longitude-latitude coordinates of the analysis domain for the 27 severe weather days analyzed in this study. Dates in bold represent days where GOES-14 and ENTLN data were available, with one exception (ENTLN data were not obtained for the 4 June 2015 case).

		No. Tornadic		Analysis Domain Coordinates
	No. Storms	(No.	Dominant	$[lon_0, lat_0, lon_1, lat_1]$
Date	(No. Severe)	Tornadoes)	storm mode	(°W and °N)
22 May 2011	469 (68)	21 (59)	Discrete	[95.5, 35.5, 87.0, 46.5]
24 May 2011	450 (88)	24 (64)	Discrete	[101.5, 32.0, 92.5, 39.0]
9 April 2012	30 (4)	1 (6)	Discrete	[101.0, 33.5, 95.0, 37.5]
13 April 2012	97 (6)	3 (14)	Discrete	[100.5, 34.5, 95.0, 37.0]
14 April 2012	313 (30)	23 (96)	Discrete	[101.0, 36.0, 95.5, 41.5]
20 May 2013	246 (67)	16 (35)	Discrete	[99.0, 31.5, 93.0, 40.0]
31 May 2013	391 (63)	14 (36)	Discrete	[99.0, 34.5, 87.0, 40.5]
12 June 2013	555 (126)	10 (21)	MCS	[96.0, 38.0, 80.0, 45.0]
27 April 2014	223 (57)	8 (21)	Discrete	[99.0, 34.0, 91.5, 42.0]
10 May 2014	112 (40)	2 (5)	Discrete	[99.0, 36.0, 90.0, 43.0]
11 May 2014	330 (63)	10 (41)	Discrete	[102.0, 36.0, 92.0, 44.5]
21 May 2014	54 (10)	2 (5)	Discrete	[106.0, 37.5, 101.0, 41.0]
16 June 2014	406 (66)	10 (40)	Discrete	[100.0, 41.0, 89.0, 44.0]
17 June 2014	155 (22)	7 (16)	Discrete	[106.0, 41.5, 94.5, 48.0]
18 June 2014	79 (8)	5 (13)	Discrete	[100.0, 43.5, 98.0, 46.5]
13 October 2014	707 (80)	17 (24)	MCS	[95.5, 29.5, 84.5, 40.5]
6 May 2015	202 (53)	23 (52)	Discrete	[100.0, 32.5, 95.5, 41.5]
19 May 2015	329 (32)	13 (36)	Discrete	[103.0, 29.0, 94.0, 37.0]
24 May 2015	123 (16)	1 (10)	MCS	[105.0, 36.0, 97.0, 41.0]
25 May 2015	669 (64)	18 (28)	MCS	[105.0, 25.0, 89.0, 41.0]
27 May 2015	387 (48)	8 (18)	Discrete	[104.0, 29.5, 96.0, 41.5]
4 June 2015	290 (42)	3 (23)	Discrete	[108.0, 34.0, 93.0, 43.0]
23 December 2015	137 (34)	7 (26)	MCS	[92.5, 33.5, 84.0, 42.0]
15 April 2016	160 (28)	4 (12)	Discrete	[104.0, 34.5, 99.0, 40.5]
9 May 2016	199 (64)	10 (26)	Discrete	[100.0, 33.0, 94.0, 41.5]
24 May 2016	150 (35)	11 (44)	Discrete	[104.0, 35.5, 97.0, 41.0]
25 May 2016	17 (6)	2 (6)	Discrete	[99.5, 35.5, 95.0, 40.0]
Total	7280 (978)	273 (777)	_	_

TABLE 2. All variables presented in this study, categorized by their source and type (physical or kinematic).

	Radar	Satellite	Lightning
Kinematic	Rotation Extrema	Cloud Top Vorticity Extrema	N/A
	Divergence Extrema	Cloud Top Divergence Extrema	
	Velocity Spectrum Width Extrema		
Physical	Echo Top Altitude (at a 40-dBZ $Z_H$ threshold)	Visible Texture Rating	Total Flash Density

		Non-tornadic	Non-tornadic	30 min before	15 min before	During	15 min after	30 min after
Figure	Non-tornadic	severe	significant severe	first tornado	first tornado	tornado	last tornado	last tornado
3A	125615	16373	3256	961	1164	6207	1053	817
3B	15307	2215	558	112	138	541	93	54
3C	128713	16585	3318	961	1164	6210	1058	821
3D	21372	3221	735	117	232	977	168	119
3E	125671	16355	3281	961	1164	6210	1053	821
3F	36212	5284	1177	185	226	844	206	130
4A	122414	16260	3272	955	1164	6135	1041	796
4B	120454	15739	3287	953	1159	6134	1040	792
4C	96926	13586	2672	825	983	5243	863	672
4D	121654	16056	3220	951	1149	6123	1049	817
4E	121151	16143	3338	953	1159	6134	1040	792
4F	44429	6710	1431	378	465	3243	424	316
A1B	24699	2658	608	223	269	1086	231	170
A2A	231963	15850	2648	275	345	878	260	205
A2B	103295	13164	2289	224	290	745	195	158
A2C	220861	15224	2589	255	331	844	253	195
A2D	231293	15595	2653	275	345	878	260	205
A2E	232414	15790	2644	275	345	878	260	205
A2F	220677	15282	2588	260	331	845	253	195
A2G	238546	16080	2648	275	345	878	260	205
A2H	229796	15515	2648	275	345	878	260	205

TABLE 3. The number of 1-min observations contributing to box plots in this study.

TABLE 4. Values of the performance metrics for the rotation-divergence product using a threshold of  $42 \cdot 10^{-6} \text{ s}^{-2}$  [where NWS skill (CSI) was matched using data from all 27 cases]. Here, values are shown for the performance when the threshold was used for all 27 cases, cases dominated by MCSs, and cases grouped by the number of tornadic storms that occurred.

			Mean flag	Median flag
	POD (%)	FAR (%)	lead time (min)	lead time (min)
27 cases	58.30	85.85	43.0	35
MCS	32.00	92.52	38.4	35
1-5 tornadic storms	69.57	87.30	54.4	47
6-15 tornadic storms	69.23	87.20	39.5	34
$\geq$ 16 tornadic storms	58.88	77.90	44.8	34

Table A1. Similar to Table 1. Dates, number of storms, number of tornadic storms, number of tornadoes, dominant storm mode (discrete or mesoscale convective system), and the longitude-latitude coordinates of the

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		No. Tornadic		Analysis Domain Coordinates
	No. Storms	(No.	Dominant	$[lon_0, lat_0, lon_1, lat_1]$
Date	(No. Severe)	Tornadoes)	storm mode	(°W and °N)
25 January 2011	665 (18)	6 (7)	MCS	[ 84.0, 25.0, 80.0, 31.0]
24 February 2011	547 (97)	10 (19)	Discrete	[ 95.0, 31.0, 82.0, 38.5]
5 March 2011	501 (8)	4 (7)	Discrete	[ 96.0, 28.5, 86.5, 34.5]
19 March 2011	102 (10)	2 (2)	Discrete	[103.5, 32.0, 78.5, 35.5]
29 March 2011	1101 (45)	2 (3)	Discrete	[ 94.5, 28.5, 84.5, 36.5]
09 April 2011	296 (34)	7 (23)	Discrete	[ 98.5, 40.5, 90.0, 45.5]
21 April 2011	113 (7)	3 (5)	Discrete	[104.5, 28.5, 96.5, 35.5]
26 May 2011	1415 (192)	12 (14)	MCS	[ 91.5, 29.5, 74.0, 43.0]
29 May 2011	346 (47)	3 (4)	MCS	[ 94.0, 40.0, 81.5, 45.5]
1 June 2011	351 (48)	4 (7)	Discrete	[ 80.5, 39.5, 67.0, 46.5]
10 June 2011	1016 (74)	1(1)	Discrete	[ 97.0, 37.0, 80.5, 42.0]
12 June 2011	557 (41)	9 (12)	Discrete	[108.0, 38.0, 74.5, 47.5]
27 June 2011	216 (8)	2 (2)	Discrete	[ 85.5, 36.5, 79.5, 42.0]
29 June 2011	199 (12)	2 (2)	Discrete	[117.0, 43.0, 104.0, 49.0]
17 July 2011	241 (17)	4 (8)	Discrete	[104.0, 44.0, 93.5, 49.0]
26 July 2011	1416 (50)	4 (7)	Discrete	[104.0, 40.0, 69.5, 47.0]
2 August 2011	212 (1)	1(1)	Discrete	[ 84.5, 25.0, 80.0, 30.5]
17 September 2011	488 (15)	1 (3)	Discrete	[102.5, 31.5, 94.0, 37.5]
7 October 2011	425 (21)	3 (4)	Discrete	[104.0, 34.0, 95.5, 43.0]
7 November 2011	552 (24)	2 (15)	MCS	[103.0, 32.0, 92.5, 38.0]
21 December 2011	101 (1)	1(1)	MCS	[ 89.0, 30.0, 82.5, 35.5]
22 December 2011	632 (21)	10 (18)	MCS	[ 93.5, 29.0, 83.0, 35.0]
Total	11492 (791)	93 (165)	_	_

analysis domain for the randomly selected 2011 severe weather days.

# 934 LIST OF FIGURES

935 936	Fig. 1.	Storm tracks of at least 30 min in length from all 27 cases. Variation in color is arbitrary and meant to improve interpretation of overlapping storms.	. 49
937 938 939 940 941 942	Fig. 2.	Example maps of variables valid 11 May 2014 at 22:30 UTC in Kansas and Nebraska. 15- and 45-dBZ 0-5 km column-maximum reflectivity values are contoured in black (increasing thickness for increasing reflectivity) and superimposed in most panels. Red contours in panel F signify satellite mAMV divergence in $5 \cdot 10^{-4}$ s <sup>-1</sup> increments with increasing thickness starting from $5 \cdot 10^{-4}$ s <sup>-1</sup> . Satellite products were subject to the parallax correction method described in Section 2c.	. 50
943 944 945 946 947 948 949 950 951 952 953 954 955	Fig. 3.	Box plots for kinematic and physical metrics of upward motion derived from radar, satellite, and lightning data. The notched box-and-whiskers show the 5th, 25th, 50th, 75th, and 95th percentiles of each metric for all severe weather days for which data are available. Notches in the boxes emanating from the median values represent the 95% confidence interval for the median values. When the notches of different boxes within the same subplot do not overlap, the medians are taken to be significantly different (Krzywinski and Altman 2014). The three leftmost boxes in each subplot show distributions based on the 30-min periods around the maximum of a given variable for all non-tornadic storms (NT), severe non-tornadic storms (SNT), and significant severe non-tornadic storms (SSNT). The five remaining boxes show distributions for tornadic storms at 30 and 15 min prior to the first tornado (30BT and 15BT), during the lifecycle of all tornadoes (DT), and 15 and 30 min after the last tornado (15AT and 30AT). The number of observations contributing to each box in every figure, as well as the values for the 5 percentiles for each box, can be found in the supplemental tables.	. 52
956 957 958	Fig. 4.	As in Fig. 3, but for divergence and rotation variables. The gray horizontal line in panel E represents the threshold used for the the objective tornadic storm identification method evaluated in Section 3a.	. 53
959 960 961 962 963	Fig. 5.	Tropopause-relative $Z_H = 10$ dBZ echo-top altitude from radar versus visible texture rating from satellite imagery for all 27 severe weather days. Each box-and-whisker represents the 5th, 25th, 50th, 75th, and 95th percentiles of the echo-top altitude distribution at a specified range of visible texture ratings. Numbers at the bottom of each box represent the number of contributing 1-min observations.	. 54
964 965 966 967 968 969 970	Fig. 6.	Performance diagram for the objective threshold and NWS warning-based methods. Solid black lines are lines of constant CSI. The dashed lines represent bias, where values >1 signify over-forecasting and values <1 signify under-forecasting. Colored lines show the performance of the objective threshold method at multiple time periods (5, 15, and 30 min) of exceedance for divergence-rotation product threshold values ranging from $5 \cdot 10^{-6}$ to $80 \cdot 10^{-6}$ s <sup>-2</sup> . The open black circle shows the cumulative performance for the 27 severe weather days for the NWS warning-based method.	. 55
971 972 973 974 975 976 977 978	Fig. 7.	Example maps of the radar divergence-rotation product valid 31 May 2013 in Oklahoma and Kansas in the time window from 22:20 UTC to 00:00 UTC on 1 June 2013 (at 20-min intervals). All values above the threshold of $42 \cdot 10^{-6} \text{ s}^{-2}$ are colored purple, values between 25 and $42 \cdot 10^{-6} \text{ s}^{-2}$ are shown in pink, and any values below $25 \cdot 10^{-6} \text{ s}^{-2}$ are colored blue. Storms of interest are labeled in the different panels and tornado reports (and the state counties in which they occurred) are noted in each map. 15- and 45-dBZ 0-5 km column-maximum reflectivity values are contoured in black (increasing thickness for increasing reflectivity).	. 56

979	Fig. A1.	Panel A shows an example map of the IR brightness temperature for the same case as in Fig.
980		2. Panel B depicts box plots for minimum tropopause-relative IR brightness temperature
981		similar to those in Fig. 3
982	Fig. A2.	Similar to Fig. 3. Box plots for the 22 randomly selected cases from 2011



FIG. 1. Storm tracks of at least 30 min in length from all 27 cases. Variation in color is arbitrary and meant to improve interpretation of overlapping storms.



FIG. 2. Example maps of variables valid 11 May 2014 at 22:30 UTC in Kansas and Nebraska. 15- and 45dBZ 0-5 km column-maximum reflectivity values are contoured in black (increasing thickness for increasing reflectivity) and superimposed in most panels. Red contours in panel F signify satellite mAMV divergence in  $5 \cdot 10^{-4} \text{ s}^{-1}$  increments with increasing thickness starting from  $5 \cdot 10^{-4} \text{ s}^{-1}$ . Satellite products were subject to the parallax correction method described in Section 2c.



FIG. 3. Box plots for kinematic and physical metrics of upward motion derived from radar, satellite, and 990 lightning data. The notched box-and-whiskers show the 5th, 25th, 50th, 75th, and 95th percentiles of each metric 991 for all severe weather days for which data are available. Notches in the boxes emanating from the median values 992 represent the 95% confidence interval for the median values. When the notches of different boxes within the 993 same subplot do not overlap, the medians are taken to be significantly different (Krzywinski and Altman 2014). 994 The three leftmost boxes in each subplot show distributions based on the 30-min periods around the maximum 995 of a given variable for all non-tornadic storms (NT), severe non-tornadic storms (SNT), and significant severe 996 non-tornadic storms (SSNT). The five remaining boxes show distributions for tornadic storms at 30 and 15 min 997 prior to the first tornado (30BT and 15BT), during the lifecycle of all tornadoes (DT), and 15 and 30 min after 998 the last tornado (15AT and 30AT). The number of observations contributing to each box in every figure, as well 999 as the values for the 5 percentiles for each box, can be found in the supplemental tables. 1000



FIG. 4. As in Fig. 3, but for divergence and rotation variables. The gray horizontal line in panel E represents the threshold used for the the objective tornadic storm identification method evaluated in Section 3a.



FIG. 5. Tropopause-relative  $Z_H = 10$  dBZ echo-top altitude from radar versus visible texture rating from satellite imagery for all 27 severe weather days. Each box-and-whisker represents the 5th, 25th, 50th, 75th, and 95th percentiles of the echo-top altitude distribution at a specified range of visible texture ratings. Numbers at the bottom of each box represent the number of contributing 1-min observations.



FIG. 6. Performance diagram for the objective threshold and NWS warning-based methods. Solid black lines are lines of constant CSI. The dashed lines represent bias, where values >1 signify over-forecasting and values <1 signify under-forecasting. Colored lines show the performance of the objective threshold method at multiple time periods (5, 15, and 30 min) of exceedance for divergence-rotation product threshold values ranging from  $5 \cdot 10^{-6}$  to  $80 \cdot 10^{-6}$  s<sup>-2</sup>. The open black circle shows the cumulative performance for the 27 severe weather days for the NWS warning-based method.



FIG. 7. Example maps of the radar divergence-rotation product valid 31 May 2013 in Oklahoma and Kansas in the time window from 22:20 UTC to 00:00 UTC on 1 June 2013 (at 20-min intervals). All values above the threshold of  $42 \cdot 10^{-6}$  s<sup>-2</sup> are colored purple, values between 25 and  $42 \cdot 10^{-6}$  s<sup>-2</sup> are shown in pink, and any values below  $25 \cdot 10^{-6}$  s<sup>-2</sup> are colored blue. Storms of interest are labeled in the different panels and tornado reports (and the state counties in which they occurred) are noted in each map. 15- and 45-dBZ 0-5 km column-maximum reflectivity values are contoured in black (increasing thickness for increasing reflectivity).



Fig. A1. Panel A shows an example map of the IR brightness temperature for the same case as in Fig. 2. Panel B depicts box plots for minimum tropopause-relative IR brightness temperature similar to those in Fig. 3.



Fig. A2. Similar to Fig. 3. Box plots for the 22 randomly selected cases from 2011.